संख्या-07/2017-सा-3-108/दस-2017-301(9)/2011

प्रेषक,

नील रतन कुमार,
विशेष सचिव,
उत्तर प्रदेश शासन।

सेवा में,

1- समस्त प्रमुख सचिव/सचिव, उत्तर प्रदेश शासन।
2- समस्त विभागाध्यक्ष/प्रमुख कार्यालयाध्यक्ष, उत्तर प्रदेश।
3- निदेशक, पेंशन, उत्तर प्रदेश।

वित्त (सामान्य) अनुभाग-3 लखनऊ : दिनांक 20 मार्च, 2017

विषय : राष्ट्रीय पेंशन प्रणाली से निकासी तथा प्रत्याहरण ।

महोदय,

राष्ट्रीय पेंशन प्रणाली से निकासी तथा प्रत्याहरण के संबंध में पेंशन फण्ड रेगुलेटरी एण्ड डेवलपमेण्ट अथॉरिटी (पी0एफ0आर0डी0ए0) की अधिसूचना दिनांक 11 मई, 2015 द्वारा प्रख्यापित "पेंशन निधि विनियामक और विकास प्राधिकरण (राष्ट्रीय पेंशन प्रणाली के अन्तर्गत निकासी और प्रत्याहरण) विनियम, 2015" जिसे आगे "विनियम" कहा गया है, को राज्य सरकार की अधिसूचना संख्या-21/2015/सा-3-1038/दस-2015-301 (09)/2011 दिनांक 06.11.2015 द्वारा अंगीकृत किया गया है।

2- उक्त नियमावली के अनुसार :-

(1)- राष्ट्रीय पेंशन प्रणाली के अन्तर्गत कर्मचारियों के टियर-1 खाते में संचित पेंशन धन से आंशिक प्रत्याहरण उपर्युक्त नियमावली के अध्याय-3 के अनुच्छेद-8 में विनिर्दिष्ट प्रयोजनों के लिये किये जाने की व्यवस्था की गयी है जिसके अनुसार कोई अभिदाता राष्ट्रीय पेंशन प्रणाली के अधीन अभिदान की सम्पूर्ण अवधि के दौरान अधिकतम तीन बार प्रत्याहरण कर सकता है। ऐसे प्रत्येक प्रत्याहरण की अंतिम तारीख से कम से कम पॉच वर्ष के उपरान्त अगला प्रत्याहरण अनुमन्य है परन्तु दो प्रत्याहरणों के बीच व्यतीत

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1- यह शासनादेश इलेक्ट्रानिकली जारी किया गया है, अत: इस पर हस्ताक्षर की आवश्यकता नहीं है ।
2- इस शासनादेश की प्रमाणिकता वेब साइट http://shasanadesh.up.nic.in से सत्यापित की जा सकती है।

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होने वाले पॉच वर्ष के अंतराल की अनिवार्यता विनियमावली में विनिर्दिष्ट रूग्णता के उपचार के मामलों में अथवा अभिदाता की मृत्यु की दशा में राष्ट्रीय पेंशन प्रणाली से निकासी के मामलों में लागू नहीं होगी।

(2)- प्रत्याहरण हेतु आवेदन की तिथि को अभिदाता के पेंशन खाते में उसके द्वारा किये गये अभिदान की संचित राशि की अधिकतम 25 प्रतिशत धनराशि प्रत्याहरित करने की अनुमति होगी।

3- **राष्ट्रीय पेंशन प्रणाली से आंशिक प्रत्याहरण** हेतु अभिदाता द्वारा फार्म संख्या-601 PW (अनुलग्नक-1) पर आवेदन किया जाना होगा।

4(1)-**अधिवर्षता पर सेवानिवृत्ति** की दशा में फॉर्म संख्या-101 GS (अनुलग्नक 2-क) पर आवेदन किया जाना अपेक्षित होगा, साथ ही फॉर्म संख्या-401 AN (अनुलग्नक 2-ख) पर नामितियों का विवरण भी प्रस्तुत किया जाना होगा तथा आवेदन पत्र के साथ अनुलग्नक 2-ग में उल्लिखित अभिलेख भी प्रस्तुत किये जाने होंगे।

(2)-अधिवर्षता पर सेवानिवृत्ति के तीन माह पहले अभिदाता के वेतन से राष्ट्रीय पेंशन प्रणाली के अन्तर्गत कटौतियां बन्द कर दी जाएंगी।

(3)-आवेदन पत्र दो प्रतियों में कार्यालयाध्यक्ष को प्रस्तुत किया जायेगा तथा आहरण एवं वितरण अधिकारी द्वारा संलग्नकों सहित आवदेन पत्र में दी गई सूचनाओं को कार्यालय अभिलेखों से सत्यापित करते हुए अधिवर्षता पर सेवानिवृत्ति के 2 माह पूर्व आहरण एवं वितरण अधिकारी द्वारा मुख्य/वरिष्ठ कोषाधिकारी को उपलब्ध कराया जाएगा।

(4)-जहां अभिदाता अनिवार्य रूप से वार्षिकी क्रय करने के पश्चात् अतिशेष रकम के प्रत्याहरण की वांछा नहीं करता है वहॉ ऐसे अभिदाता के पास तब तक एकमुश्त रकम के प्रत्याहरण को आस्थगित करने का विकल्प होगा जब तक वह 70 वर्ष की आयु प्राप्त नही कर लेता या लेती, परन्तु अभिदाता, राष्ट्रीय पेंशन प्रणाली न्यास या इस प्रयोजन के लिये प्राधिकरण द्वारा प्राधिकृत किसी

1- यह शासनादेश इलेक्ट्रानिकली जारी किया गया है, अत: इस पर हस्ताक्षर की आवश्यकता नहीं है ।

2- इस शासनादेश की प्रमाणिकता वेब साइट http://shasanadesh.up.nic.in से सत्यापित की जा सकती है।

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मध्यवर्ती या इकाई को अधिवर्षिता की आयु प्राप्त करने के कम से कम पन्द्रह दिन पूर्व लिखित रूप में विनिर्दिष्ट प्रारूप में ऐसा करने के अपने आशय की सूचना देगा या देगी।

(5)-विभागीय या न्यायिक कार्यवाहियों के मामले में राष्ट्रीय पेंशन प्रणाली के अन्तर्गत निकास की कार्यवाही प्रारंभ करने से पूर्व उक्त विनियम के विनियम संख्या-6(ग) के अनुसरण में कार्यालयाध्यक्ष/ विभागाध्यक्ष द्वारा यथा आवश्यक आदेश पारित कर आहरण एवं वितरण अधिकारी को उपलब्ध कराए जाएंगे और उक्त विनियम के अनुसरण में अग्रतर कार्यवाही की जाएगी।

(6)-यदि विनियम संख्या-6(ग) के अन्तर्गत कोई वसूली/निकास पर रोक आदि की कार्यवाही नहीं की जानी है तब भी इस आशय का प्रमाण-पत्र कार्यालयाध्यक्ष/विभागाध्यक्ष द्वारा आहरण एवं वितरण अधिकारी को दिया जाएगा।

5- **अधिवर्षता के पूर्व राष्ट्रीय पेंशन प्रणाली से निकासी** के प्रकरणों में अभिदाता द्वारा फॉर्म संख्या-102 GP (अनुलग्नक 3) पर आवेदन करना होगा साथ ही, अनुलग्नक 2-ख पर नामितियों का विवरण एवं अनुलग्नक 2-ग में उल्लिखित अभिलेख भी प्रस्तुत करनें होंगे।

6- **अभिदाता की मृत्यु** की दशा में अर्ह नामित/नामितियों द्वारा फॉर्म संख्या-103 GD (अनुलग्नक-4) पर आवेदन करना होगा एवं अनुलग्नक 2-ग में उल्लिखित अभिलेख भी प्रस्तुत किये जाने होंगे। मृत्यु की दशा में भरे जाने वाले फार्म संख्या-103 GD के साथ सम्बन्धित कार्यालय के सक्षम प्राधिकारी द्वारा अनुलग्नक-8 पर संलग्न प्रारूप में अनापत्ति प्रमाण पत्र प्रस्तुत करना अनिवार्य होगा।

7- **अधिवर्षता/मृत्यु अथवा अधिवर्षता के पूर्व सम्पूर्ण राशि की निकासी :** अभिदाता की अधिवर्षता अथवा मृत्यु की तिथि को यदि उसके पेंशन खाते में कुल संचित पेंशन धन रूपये दो लाख अथवा उससे कम हो तो सम्पूर्ण धनराशि का एकमुश्त आहरण अनुमन्य होगा एवं इस हेतु

1- यह शासनादेश इलेक्ट्रानिकली जारी किया गया है, अत: इस पर हस्ताक्षर की आवश्यकता नहीं है ।

2- इस शासनादेश की प्रमाणिकता वेब साइट http://shasanadesh.up.nic.in से सत्यापित की जा सकती है।

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क्रमशः अनुलग्नक-5 एवं 6 पर संलग्न प्रारूप में यथास्थिति अभिदाता/नामितियों द्वारा आवेदन एवं अण्डरटेकिंग दी जानी होगी। अधिवर्षता से पूर्व राष्ट्रीय पेंशन प्रणाली से निकासी की तिथि को अभिदाता के पेंशन खाते में संचित पेंशन धन यदि रूपये एक लाख अथवा उससे कम हो तो सम्पूर्ण संचित पेंशन धन निकाले जाने की अनुमति होगी तथा इसके लिये अभिदाता द्वारा अनुलग्नक-7 पर संलग्न प्रारूप में आवेदन एवं अण्डरटेकिंग दी जानी होगी।

8- पी0एफ0आर0डी0ए0 के सर्कुलर संख्या-पी0एफ0आर0डी0ए0/2015/06/EXIT/01, दिनांक 25 फरवरी, 2015 सपठित सर्कुलर संख्या-पी0एफ0आर0डी0ए0/2015/27/EXIT/02, दिनांक 12 नवम्बर, 2015 द्वारा दिनांक 01 अप्रैल, 2016 से राष्ट्रीय पेंशन प्रणाली से निकासी संबंधी आवेदनों की प्रॉसेसिंग ऑनलाईन किया जाना अनिवार्य कर दिया गया है। इस हेतु केन्द्रीय रिकॉर्ड कीपिंग एजेन्सी (सी0आर0ए0) की वेबसाइट पर आवश्यक Functionality उपलब्ध करायी गयी है। एन0पी0एस0 से प्रत्याहरण /निकासी हेतु ऑन लाईन प्रक्रिया स्ंवय अभिदाता द्वारा अथवा नोडल अधिकारी द्वारा Initiate की जा सकती है। यदि अभिदाता द्वारा स्ंवय ऑन-लाईन आवेदन किया जाता है तो भी विभिन्न संगत विवरणों का सत्यापन कराये जाने के प्रयोजन से संगत फॉर्म पर अभिदाता द्वारा अपने कार्यालयाध्यक्ष को संगत अभिलेखों के साथ दो प्रतियों में आवेदन पत्र प्रस्तुत किया जाना होगा। पी0एफ0आर0डी0ए0 के सर्कुलर दिनांक 25 फरवरी, 2015 में दी गयी व्यवस्था के अनुसार यदि आवेदनकर्ता द्वारा स्वतः ऑन लाईन आवेदन न कर संगत रूप पत्रों पर सम्बन्धित कार्यालय में आवेदन किया जाता है तो सम्बन्धित कार्यालयाध्यक्ष द्वारा आवेदन पत्र कोषागार को अग्रसारित किया जायेगा तथा कोषागार कार्यालय द्वारा सी0आर0ए0 वेबसाईट पर प्रत्याहरण/निकासी हेतु कार्यवाही की जायेगी। उपर्युक्त सर्कुलर पी0एफ0आर0डी0ए0 की वेबसाइट पर उपलब्ध है।

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1- यह शासनादेश इलेक्ट्रानिकली जारी किया गया है, अतः इस पर हस्ताक्षर की आवश्यकता नहीं है ।

2- इस शासनादेश की प्रमाणिकता वेब साइट http://shasanadesh.up.nic.in से सत्यापित की जा सकती है।

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9- ऐसे प्रकरण भी शासन के संज्ञान में लाये गये हैं जिनमें "सब्सक्राइबर कन्ट्रीब्यूशन फाईल (SCF)" को अपलोड करते समय कतिपय त्रुटियां प्रकाश में आयी हैं। इस प्रकार की त्रुटियों का विवरण नीचे उद्धृत किया गया है -

(1)- ट्रस्टी बैंक को अधिक धनराशि का स्थानान्तरण।

(2)- किसी अभिदाता के प्रान में अधिक धनराशि का स्थानान्तरण।

(3) किसी PRAN में की गयी कटौती की धनराशि का किसी अन्य PRAN में स्थानान्तरण।

(4) राष्ट्रीय पेंशन प्रणाली से अनाच्छादित अभिदाता के वेतन से कटौती।

उपर्युक्त त्रुटियों का निराकरण सी0आर0ए0 की वेबसाईट पर उपलब्ध Functionality- "Error Rectification Module (ERM)" का उपयोग करते हुये किया जायेगा। इस हेतु विस्तृत स्टैण्डर्ड ऑपरेटिंग प्रोसीजर सी0आर0ए0 की वेबसाईट पर उपलब्ध है जिसके अनुसार विभिन्न चरणों पर संबंधित प्राधिकारियों द्वारा कार्यवाही किया जाना अपेक्षित है। निदेशक, पेंशन इस संबंध में सी0आर0ए0 से सम्पर्क कर "Error Rectification Module (ERM)" का प्रयोग किये जाने हेतु अपने अधीनस्थ कर्मचारियों/अधिकारियों एवं कोषाधिकारियों का प्रशिक्षण करवाना सुनिश्चित करेंगे। सुविधा के लिये उपर्युक्त स्टैण्डर्ड ऑपरेटिंग प्रोसीजर के सुसंगत अंश की संक्षिप्त रूपरेखा अनुलग्नक-9 पर संलग्न है।

10- प्रस्तर-9 के क्रमांक (2), (3) एवं (4) से संबंधित मामलों में इस शासनादेश के अनुलग्नक-10 पर स्थित प्रारूप पर आवेदन अपने आहरण एवं विवरण अधिकारी को प्रस्तुत किया जायेगा। उक्त आवेदन पत्र के भाग-6 एवं भाग-7 पर क्रमश: आहरण एवं विवरण अधिकारी तथा कार्यालयाध्यक्ष/विभागाध्यक्ष द्वारा प्रमाण पत्र अंकित करते हुये कोषागार को अग्रसारित कर दिया जायेगा। सेवाकाल में मृत्यु /विकलांगता/ चोट की दशा में यदि परिजन/कर्मचारी द्वारा शासनादेश संख्या-13/सा-180/दस-2016-301(09)/2011, दिनांक 19 मई, 2016 के अधीन पारिवारिक

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1- यह शासनादेश इलेक्ट्रानिकली जारी किया गया है, अत: इस पर हस्ताक्षर की आवश्यकता नहीं है ।

2- इस शासनादेश की प्रमाणिकता वेब साइट http://shasanadesh.up.nic.in से सत्यापित की जा सकती है।

http://shasanadesh.up.nic.in

पेंशन/पेंशन की सुविधा के विकल्प का वरण किया जाता है, अथवा किसी कर्मचारी द्वारा त्यागपत्र दिये जाने अथवा उसके पदच्युत हो जाने की दशा में उसके प्रान खाते में संचित धनराशि की निकासी शासनादेश संख्या-सा-1192/दस-2015, दिनांक 14 जनवरी, 2016 के अधीन किये जाने हेतु भी अनुलग्नक-10 पर स्थित प्रारूप में आवेदन पत्र प्रस्तुत किया जाना होगा।

11- इस शासनादेश के प्रस्तर-3, 5, 6, 7 एवं 10 के अधीन एन0पी0एस0 से प्रत्याहरण/निकास हेतु निर्धारित प्रारूप पर अभिदाता/नामिति/नामितियों द्वारा आवेदन समस्त संगत अभिलेखों के साथ दो प्रतियों में कार्यालयाध्यक्ष /विभागाध्यक्ष को प्रस्तुत किया जायेगा। आवेदन पत्र में की गयी प्रविष्टियों का सत्यापन आहरण एवं विवरण अधिकारी तथा कार्यालयाध्यक्ष /विभागाध्यक्ष द्वारा करते हुये मुख्य/वरिष्ठ कोषाधिकारी को अग्रसारित किया जायेगा।

कृपया उपर्युक्तानुसार कार्यवाही सुनिश्चित की जाय।

भवदीय,
नील रतन कुमार
विशेष सचिव ।

संख्या-07/2017/सा-3-108(1)/दस-2017-301(9)/2011 तद्दिनांक

प्रलिलिपि निम्नलिखित को सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित :-

1- महामहिम राज्यपाल के प्रमुख सचिव/सचिव।
2- प्रमुख सचिव, विधान सभा एवं प्रमुख सचिव, विधान परिषद, उत्तर प्रदेश।
3- महालेखाकार (लेखा एवं हकदारी) प्रथम/द्वितीय, उ0प्र0, इलाहाबाद।
4- निदेशक, कोषागार, उत्तर प्रदेश, जवाहर भवन, लखनऊ।
5- निदेशक, वित्तीय प्रबन्ध, प्रशिक्षण एवं शोध संस्थान, इन्दिरा नगर लखनऊ।
6- निदेशक, वित्तीय सांख्यिकी निदेशालय, जवाहर भवन, लखनऊ।
7- सचिवालय के समस्त अनुभाग।
8- समस्त मण्डलीय अपर/संयुक्त निदेशक, कोषागार एवं पेंशन, उ0प्र0।
9- समस्त मुख्य/वरिष्ठ कोषाधिकारी, उ0प्र0।
10- चीफ जनरल मैनेजर, पी0एफ0आर0डी0ए0, नई दिल्ली।
11- श्री चन्द्रशेखर तिलक, एक्जीक्यूटिव वाईस प्रेसिडेन्ट, एन0एस0डी0एल, मुम्बई।

आज्ञा से,
नील रतन कुमार
विशेष सचिव ।

1- यह शासनादेश इलेक्ट्रानिकली जारी किया गया है, अत: इस पर हस्ताक्षर की आवश्यकता नहीं है ।
2- इस शासनादेश की प्रमाणिकता वेब साइट http://shasanadesh.up.nic.in से सत्यापित की जा सकती है।

http://shasanadesh.up.nic.in

FORM: 601 PW

National Pension System (NPS)

(Under Regulations 8 of PFRDA (Exits & Withdrawals) Regulations, 2015)

Partial Withdrawal form for Tier I account under NPS

(Please fill all the details in CAPITAL LETTERS & in BLACK INK only)

For Nodal Office use

PAO/DTO/POP/POP-SP Reg. No. | | | | | | | |

Receipt No.: | | | | | | | | | | | | | | | |

PRAN | | | | | | | | | | | | |

Ack No. | | | | | | | | | | |

(Generated by CRA System) _____

Entered By: _____ Date: _____

Verified By: _____ Date: _____

Please select your Category (please tick √)

☐ Government Sector ☐ Corporate Sector

☐ All Citizen of India ☐ NPS Lite/ Swavalamban

To,
NPS Trust

Sir/Madam,

I _____ holding a Permanent Retirement Account under National Pension System, hereby submit partial withdrawal request for withdrawal from my Tier I account under NPS and give below the necessary details:

Section A – Subscriber's Personal Details:

PRAN*	
Name of the Subscriber*	
Mobile No.#	
Email ID#	

Subscribers Mobile No. and Email ID provided here will not be updated in CRA records. For updation of Mobile No. and Email ID in CRA records, subscriber is required to submit S2 Form.

a. % of Partial Withdrawal* | | | %

(Maximum 25% of own contribution (without accrued income earned thereon) only)

b. Purpose of withdrawal* (please tick √ on box below with reason applicable & submit the supporting documents)

☐ 1. for Higher education of children including a legally adopted child

☐ 2. for the marriage of children, including a legally adopted child;

☐ 3. for the purchase or construction of a residential house or flat in own name or in a joint name with legally wedded spouse

☐ 4. for treatment of specified illnesses (please tick √)

- ☐ a. Cancer
- ☐ b. Kidney Failure (End Stage Renal Failure)
- ☐ c. Primary Pulmonary Arterial Hypertension
- ☐ d. Multiple Sclerosis
- ☐ e. Major Organ Transplant
- ☐ f. Coronary Artery Bypass Graft
- ☐ g. Aorta Graft Surgery
- ☐ h. Heart Valve Surgery
- ☐ i. Stroke
- ☐ j. Myocardial Infarction
- ☐ k. Coma
- ☐ l. Total blindness
- ☐ m. Paralysis
- ☐ n. Accident of serious/ life threatening nature

c. Bank account details of the subscriber (please provide the details of the bank where the withdrawal amount shall be credited, tick √ as applicable & submit the bank proof)

☐ same bank account already registered under NPS ☐ another Bank account, please provide the details below

Bank Account Number	
Bank Name	
Type of Bank Account	Savings Account ( ) Current Account ( )
Branch Name & Address	
IFS Code	

Section B – Declarations

Declaration by the Subscriber*:

1. I hereby declare that information stated above is true and correct to the best of my knowledge & belief and that I have completed minimum of ten years in to the NPS as required for partial withdrawal and eligible to withdraw the amount requested above due to the urgent need of funds to support the reason mentioned above.
2. I .....(name) with PRAN.....agree that in case of any failure of Direct Credit, for any reason whatsoever, NPS Trust / CRA shall not be responsible. I also agree that NPS Trust / CRA shall not be responsible/liable for any losses that may arise due to incorrect bank account details provided herein above.

Date (d d m m y y y y)	
Place	Signature / Thumb Impression of the Subscriber**

** Left thumb impression in case of illiterate male claimant and Right thumb impression in case of illiterate female

Declaration by Nodal Office(for government sector subscribers):*

I/We hereby declare that the subscriber Sh./Smt/Kum..... with PRAN.....is employed with us and I have verified the genuineness of the reasons for his/her withdrawal request and bank details submitted by him/her in respect of his/her request for partial withdrawal are correct.

Date (d d m m y y y y)	
Registration No. of DDO	Signature & stamp of the DDO
Date (d d m m y y y y)	
Registration No. of PAO/CDDO/DTO	Signature & stamp of the DTO/PAO/CDDO

Declaration by POP/Aggregator(for Non government sector subscribers):

I hereby declare that the subscriber Sh./Smt/Kum_____ with PRAN _____ has signed/thumb impressed before me after he/she has read the entries/have been read over by him/her for the request of partial withdrawal under NPS. I have verified the genuineness of the reasons for his/her withdrawal request and bank details submitted by him/her in respect of his/her request for partial withdrawal are correct.

Date (d d m m y y y y)	
Registration No. of POP-SP/NL-CC	Signature & stamp of the Authorised person at POP-SP/NL-CC
Date (d d m m y y y y)	
Registration No. of POP/NL-AO	Signature & stamp of the Authorised person at POP/NL-AO

ACKNOWLEDGMENT RECEIPT

Acknowledgment slip to the NPS Subscriber on receipt of partial withdrawal application form
(To be filled by DDO/CDDO/PAO/DTO/POP/Aggregator)

Received from PRAN :	
DDO/POP-SP/NL-CC Registration Number:	Date : (d d m m y y y y)
PAO/CDDO/DTO/POP/NL-AO Registration Number	Received at
Acknowledgement Number	

Form 601 - PW

(Under Regulation 8 of PFRDA Exits & Withdrawals Regulations, 2015)

Instructions Page

Instructions for filling up the form:

1. All fields marked with * are mandatory. All dates should be in DDMMYYYY format.
2. The Subscriber shall submit the application to the respective Nodal Office/POP/Aggregator for processing of request.
3. Before submitting the withdrawal form, subscriber should ensure that the bank account details are matched from the bank passbook/ bank statement or cheque etc to ensure that the details are correct. Subscriber should also attach the bank proof (cancelled cheque/copy of bank passbook/bank certificate) with the Partial Withdrawal Form submitted.
4. Subscriber should specify the purpose of Partial Withdrawal and a proof need to be submitted for the same.
5. Subscriber should be in the NPS atleast for a period of 10 years.
   A subscriber shall be permitted to withdraw not exceeding 25% of the contributions made by such subscriber to his/her individual pension account,
6. The Nodal officer/POP/Aggregator must verify the details of the bank account of subscriber.
7. Withdrawal amount received after the execution of the withdrawal request can be different from the requested
   amount to the extent of difference in NAV of two different days.
8. The withdrawal amount shall directly be credited to the bank account of the subscriber as mentioned in the withdrawal form.
9. In case, the subscriber already owns either individually or in the joint name a residential house or flat, other than ancestral property, no withdrawal under PFRDA regulations is permitted.
10. Treatment of specific illness covers the subscriber, his legally wedded spouse, children, including a legally adopted child or dependent parents suffer from the specified illness, which shall comprise of hospitalization and treatment.
11. The permitted withdrawal shall be allowed only if the eligibility criteria and limit for availing the benefit are complied with by the subscriber.
12. Frequency: the subscriber shall be allowed to withdraw only a maximum of three times during the entire tenure of subscription under the National Pension System and not less than a period of five years shall have elapsed from the last date of each of such withdrawal. Five years should have elapsed between two withdrawals shall not apply in case of "treatment for specified illnesses or in case of withdrawal arising out of exit from National Pension System due to the death of the subscriber.
13. For more detailed description of Partial Withdrawal option under NPS, please refer Regulation 8 of PFRDA (Exits & Withdrawals) Regulations, 2015.
14. The Nodal office/POP/Aggregator shall capture the details of the subscriber mentioned on the form and forward the same to NPS Claims Processing Cell (NPS CPC) at address mentioned below:
    NPS Claim Processing Cell,
    Central Record Keeping Agency, NSDL,
    10th Floor, Times Tower, Kamala Mills Compound,
    Senapati Bapat Marg, Lower Parel West, Mumbai - 4000013

Annexure A1

Form 101-GS **Page 1**

National Pension System (NPS)

Withdrawal Form for Claim of Accumulated Pension Wealth on Superannuation for Government Employees

(To be filled in by Subscriber - Please fill all the details in CAPITAL LETTERS & in BLACK INK only.)

(FOR OFFICE PURPOSE ONLY-NOT TO BE FILLED IN BY THE SUBSCRIBER)

Date : | | | | | | | | Acknowledgement Number : | | | | | | | | | | |

(DD/MM/YYYY) (Generated by CRA)

DDO Registration No.:_____ PAO/DTO/POP/POP-SP Registration No.:_____

Receipt Number issued by receiving office: | | | | | | | | | | | | | | | | |

Entered By: _____ Date: _____ Verified By: _____Date: _____

Self attested photograph of the subscriber

Sir/Madam,

I hereby submit a request for withdrawal under NPS for both Tier-I / Tier-II (please tick as applicable) fund accumulations in my Permanent Retirement Account and give below the necessary details:

Section A – Subscriber's Personal Details:

1. PRAN *: | | | | | | | | | | | | |

2. Full Name **(As in PRAN Card)** *:

First Name*

Middle Name

Last Name

3. Father's/Spouse name*:

First Name*

Middle Name

Last Name

4. Address*:

Flat/Unit No, Block no.*

Name of Premise/Building/Village

Area/Locality/Taluka

District/Town/City*

State / Union Territory*

Country*

Pin Code* | | | | | | | 5. Mobile No. | | | | | | | | | | |

6. Date of Birth*(**As in PRAN Card**): | | | | | | | | | 7. Email ID:

7. Date of retirement*: | | | | | | | | |

Section B – Subscriber's Withdrawal Details:

Tier – I Account:

1. The Lump-sum amount to be withdrawn and amount to purchase annuity in case of normal withdrawal*(**Please refer General Instruction no.4)**

Description	Lump-Sum amount (Maximum of 60%)	Amount to purchase life annuity (Minimum of 40%)	Total
% share			100%

Note: A separate form needs to be filled in for purchase of Annuity and for arranging the monthly pension/annuity. The subscriber is required to follow the procedure laid down by Annuity Service Provider (ASP)

2. Type of withdrawal*:

One Time Withdrawal ☐ Phased Withdrawal ☐

3. In case of Phased Withdrawal#, please mention the percentage of lump-sum amount to be withdrawn this year **(Please refer General Instruction no.5)**

Description	Phased Lump-Sum Amount Percentage (Minimum 10%)
% share	

Note: In phased withdrawal of lump-sum amount, subscriber can opt to withdraw lump-sum amount for accumulated pension wealth in a phased manner over the period from 60 years (or any other retirement age as prescribed by employer) to 70 years. Subscriber has to withdraw minimum of 10% of the remaining pension wealth every year; at the age of 70 years, subscriber would compulsory withdraw any amount lying to their credit. **Also, note that in phased withdrawal of lump-sum amount, subscriber is subject to the NAV movement and may lose or gain in the future depending on the underlying fund's performance.**

For subscriber opting for phased withdrawal, the Central Recordkeeping Agency (CRA) maintenance charges would be deducted from the corpus/units lying in the account of the subscriber

Tier – II Account:

The entire accumulated pension wealth would be paid out in single lump sum along with the withdrawal/payment of the Tier-I account.

Section C – Subscriber's Bank Details:

1. For Electronic transfer or Direct Credit through ECS/NEFT/RTGS, Proof attached for Bank Details*:

Cancelled Cheque ☐ Bank Certificate ☐

(Please note that Mode of remittance of funds would be through ECS/NEFT/RTGS/Electronic Transfer only and bank charges, if any, for direct credit would be deducted from pension wealth)

2. Type of Bank Account*: Savings A/c ☐ Current A/c ☐

3. Bank A/c Number*

4. Bank Name*

5. Bank Branch*

6. Bank Address*

7. Pin Code* 8. Bank IFS Code*

9. Bank MICR Code (Wherever applicable)

Section D – Subscriber's Annuity Details:

1. Annuity Service Provider (ASP) Name *:

2. ASP ID*:

3. ASP Scheme Name *:

4. ASP Scheme ID *:

Declaration:

I _____, NPS Subscriber, my PRAN is _____, do hereby declare that the information provided above is true to the best of my knowledge and belief.

Date : D D M M Y YYY

Signature/Left Thumb Impression of the Subscriber

***Note: Left thumb impression in case of illiterate male claimants and Right thumb impression in case of illiterate female claimants must be obtained.**

Annexure for Nomination Details

INSTRUCTIONS FOR FILLING IN THE FORM

The details of nominees to whom the outstanding pension wealth of the subscriber is payable in case of the demise of the subscriber before entire proceeds are withdrawn (Please refer general instruction no: 6) is to be provided hereunder. Also, please note that in case of demise of the subscriber after opting for phased withdrawal, all the outstanding pension wealth out of the phased lump sum withdrawal in the account of the subscriber will be paid to the nominee(s) as mentioned in this form and the same would be treated as full and final discharge of the obligation. **In case, if you wish to appoint multiple nominees, please fill in the form 401-AN.**

I, _____ hereby nominate the person(s) mentioned below who is/are member(s)/non-member(s) of my family to receive the amount that may stand to my credit in the National Pension System as indicated below, in the event of my death before that eligible accumulated pension wealth amount has become payable or having become payable or having become payable has not been paid.

1. Name of the Nominee:

First Name*	Middle Name	Surname/last name

2. Nominee's current communication Address

Flat/Unit No, Block no*_____

Name of Premise/Building/Village _____

Area/Locality/Taluka_____ _____

District/Town/City*_____

State / Union Territory*_____

Country*_____ Pin Code*_____ Email ID:_____ Mobile No.:_____

3. Date of Birth of the Nominee* (Only in case of a minor):

4. Relationship of the nominee with the Subscriber*:
(e.g. If nominee is son, subscriber should fill the relationship as 'Son')

5. Nominee's Guardian Details*(only in case of a minor):

First Name*	Middle Name	Last Name

Dated this _____day of_____20 at _____.
in the presence of the following witnesses:

Particulars	1st Witness	2nd Witness
Name		
Address		
Signature		

Signature/Left Thumb Impression of the Subscriber

***Note: Left thumb impression in case of illiterate male Subscriber and Right thumb impression in case of illiterate female subscriber must be obtained.**

TO BE FILLED/ATTESTED BY DDO/POP-SP

Certified that the above declaration and nomination details has been signed / thumb impressed before me by Sh/Smt/Ms. _____ _____after he / she have read the entries / entries have been read over to him / her by me and got confirmed by him / her. **Also certify that the date of retirement is as per employee records available with the Department. The date of retirement of the subscriber as per government record is as given below:**

								(DDMMYYYY)

Rubber Stamp of the DDO/POP-SP

Signature of the Authorised Person

DDO/POP-SP Registration Number _____ (Allotted by CRA)

Designation of the Authorised Person : _____

DDO/POP-SP Office Name: _____

Date :

D	D	M	M	Y	Y	Y	Y

TO BE FILLED/ATTESTED BY PAO/DTO/POP/POP-SP

PAO/DTO/POP/POP-SP Registration Number (Allotted by CRA): _____

Rubber Stamp of the PAO/DTO/POP/POP-SP

Signature of the Authorised Person

CLAIM FOR THE WITHDRAWAL OF ACCUMULATED PENSION WEALTH OF THE SUBSCRIBER UNDER NATIONAL PENSION SYSTEM

Advanced Stamped Receipt

I _____covered under the National Pension System with Permanent Retirement Account Number (PRAN) _____has received a sum of Rs. /- (Rupees_____only) from National Pension System / National Pension System Trust by deposit in my Saving Bank / Current Account towards the settlement of my National Pension System (NPS) account.

Affix 1 Rupee Revenue Stamp and sign across

Signature or Left/ Right hand thumb impression of the NPS Subscriber*

(***Note: Left thumb impression in case of illiterate male claimants and Right thumb impression in case of illiterate female claimants must be obtained.)**

ACKNOWLEDGMENT RECEIPT

Acknowledgment slip to the NPS Subscriber on receipt of completed application form for Withdrawal on Superannuation
(To be filled by PAO/DTO/POP/POP-SP)

Received from PRAN :

DDO Registration Number : _____ PAO/DTO/POP/POP-SP Registration Number: _____

Received at: _____ Date : _____ Time: _____

Acknowledgement Number : (Generated by CRA)

INSTRUCTIONS FOR FILLING UP THE FORM

This application should be filled by the Subscriber seeking to withdraw pension wealth benefits upon Superannuation from Government Service.

Documents to be enclosed along with this application:-

1. PRAN card in original. In case PRAN card is not available, the subscriber needs to submit a duly notarized Affidavit as to the reasons of non-submission of the PRAN card.
2. Cancelled cheque (containing Subscriber Name, Bank Account Number and IFS Code) or Bank Certificate Containing Name, Bank Account Number and IFSC code, for direct credit or electronic transfer.
3. A pre-signed receipt acknowledging the receipt of the proceed under NPS by the subscriber
4. In addition to the PRAN card any other Identification and address proof of the subscriber. The photocopies of documents (Sr. No. a to i) and original document (Sr No. j) that can be provided as identification and address proof are as mentioned below:
    a) Ration Card with photograph of the subscriber and residential address
    b) Bank Passbook with photograph and residential address
    c) Credit Card with photograph, any other address proof like latest telephone bill, electricity bill in the name of the subscriber.
    d) Passport
    e) Aadhar Card issued by UIAD
    f) Voter's Photo Identity Card with residential address
    g) Driving license with photograph and residential address
    h) PAN card and any other address proof like latest telephone bill, electricity bill in the name of the subscriber.
    i) Final relieving certificate from government service on superannuation, if the application for withdrawal is submitted through the Points of Presence (POP).
    j) Certificate of identity with photograph signed by a Member of Parliament or Member of Legislative Assembly or Municipal Councilor or a Gazetted Officer and any other address proof like latest telephone bill, electricity bill in the name of the subscriber (to be provided original)

In case if the address is not present on any of the above documents or differs with address provided in this form, proof in respect of current residential address like latest telephone bill, electricity bill in the name of the subscriber should be submitted.

GENERAL INSTRUCTIONS:

1. All the columns in the form should be filled with black ink pen without any overwriting.
2. Fields marked with (*) are mandatory.
3. Correct postal address, including the pin code should be provided.
4. Percentage of allocation for amount to be withdrawn as Lump-sum and amount to purchase life annuity. Subscriber can withdraw maximum 60% of pension wealth and is required to transfer minimum 40% of pension wealth to annuity. For example, for a total corpus of Rs.1000, if subscriber wants Rs.300 as lump-sum and Rs.700 for annuitisation, subscriber to select 30% and 70%.
5. Please select the type of lump-sum withdrawal as one-time or phased. For e.g. for a total corpus of Rs. 1000/- subscriber has selected Rs. 300 as lump-sum amount. For one time withdrawal subscriber will be given Rs. 300 as lump-sum amount on processing of withdrawal request. For phased withdrawal subscriber will be given minimum of 10% i.e. Rs. 30 for the period of 10 years, at the age of 70 years, subscriber would compulsorily withdraw any amount lying to their credit.
6. Instructions for nomination
    - Subscriber can nominate maximum of three nominees.
    - Subscriber cannot fill the same nominee details more than once.
    - Percentage share value for all the nominees must be integer. Fractional value will not be accepted.
    - Sum of percentage share across all the nominees must be equal to 100. If sum of percentage is not equal to 100, entire nomination will be rejected.
    - If a nominee is a minor, then nominee's guardian details will be mandatory

For the purpose of this document Pension Wealth means: The total amount of contributions made by the subscriber in the scheme plus the investment income derived from the investment of the contributions made by the subscriber from the date of joining of New Pension System till the date of execution of withdrawal request in the CRA System.

'kk lukn'k l[;k&07@2017&lk&3&108@nl&2017&301¼9½@2011] fnukd 20&03&2017 dk vuyXud& 2 [k

Annexure for Nomination Details

INSTRUCTIONS FOR FILLING IN THE FORM

The details of nominees to whom the outstanding pension wealth of the subscriber is payable in case of the demise of the subscriber before entire proceeds are withdrawn (Please refer general instruction no: 6) is to be provided hereunder. Also, please note that in case of demise of the subscriber after opting for phased withdrawal, all the outstanding pension wealth out of the phased lump sum withdrawal in the account of the subscriber will be paid to the nominees as mentioned in this form and the same would be treated as full and final discharge of the obligation.

I, _____ hereby nominate the person(s) mentioned below who is/are member(s)/non-member(s) of my family to receive the amount that may stand to my credit in the National Pension System as indicated below, in the event of my death before that eligible accumulated pension wealth amount has become payable or having become payable or having become payable has not been paid.

1. Name of the Nominee*:

1st Nominee	2nd Nominee	3rd Nominee
First Name*	First Name*	First Name*
Middle Name	Middle Name	Middle Name
Last Name	Last Name	Last Name

2. Present Communication address of the nominees:

Address of 1st Nominee	Address of 2nd Nominee	Address of 3rd Nominee

3. Date of Birth* (Only in case of a minor):

1st Nominee	2nd Nominee	3rd Nominee

4. Relationship with the Nominee*:

1st Nominee	2nd Nominee	3rd Nominee

5. Percentage Share*:

1st Nominee	2nd Nominee	3rd Nominee
%	%	%

6. Nominee's Guardian Details* (Only in case of a minor):

1st Nominee's Guardian Details	2nd Nominee's Guardian Details	3rd Nominee's Guardian Details
First Name*	First Name*	First Name*
Middle Name	Middle Name	Middle Name
Last Name	Last Name	Last Name

Dated this _____day of_____20 at _____.

Particulars	1st Witness	2nd Witness
Name		
Address		
Signature		

Signature/Left Thumb Impression of the Subscriber

***Note: Left thumb impression in case of illiterate male Subscriber and Right thumb impression in case of illiterate female subscriber must be obtained.**

TO BE FILLED/ATTESTED BY DDO/POP-SP

Certified that the above declaration and nomination details has been signed / thumb impressed before me by Sh/Smt/Ms._____ _____after he / she have read the entries / entries have been read over to him / her by me and got confirmed by him / her.

Rubber Stamp of the DDO/POP-SP

Signature of the Authorised Person

DDO/POP-SP Registration Number _____ (Allotted by CRA)

Designation of the Authorised Person : _____

DDO/POP-SP Office Name : _____

Date : | | | | | | | | | D D M M Y Y Y Y

TO BE FILLED/ATTESTED BY PAO/DTO/POP/POP-SP

PAO/DTO/POP Registration Number (Allotted by CRA):_____

_____

Rubber Stamp of the PAO/DTO/POP/POP-SP

Signature of the Authorised Person

Annexure B

Documents to be enclosed with the application

1. List of documents acceptable as Proof Identity and Address for exit under NPS (for all variants):-

Sl. No	Proof of Identity (Copy of any one of the given below documents)	Proof of Address (Copy of any one of the given below documents)
a	Passport issued by Government of India.	Passport issued by Government of India.
b	Ration Card with Photograph.	Ration card with photograph and residential address.
c	Bank pass book or Certificate with Photograph.	Bank Pass book or certificate with photograph and residential address.
d	Voters Identity card with photograph and residential address	Voters Identify card with photograph and residential address.
e	Valid Driving license with photograph.	Valid Driving license with photograph and residential address.
f	PAN Card issued by income tax department.	Letter from any recognized public authority at the level of Gazetted officer like District Magistrate, Divisional Commissioner, BDO, Tehsildar, Mandal Revenue Officer, Judical Magistrate etc.
g	Certificate of identify with photograph signed by a Member of Parliament or Member of Legislative Assembly.	Certificate of address with photograph signed by a Member of Parliament or member of Legislative Assembly.
h	Aadhar Card/letter issued by Unique Identification Authority of India.	Adhar Card/Letter issued by unique identification Authority of India Clearly showing the address.
i	Job Cards issued by NREGA duly signed by an officer of the State Government.	Job cards issued by NREGA duly signed by an Officer of the State Government.
j	Photo Identity card issued by Government Defence, Paramilitary and Police Departments.	Latest Electricity/Water bill in the name of the subscriber / Claimant and showing the address (Less than 6 months old).
k	Ex-Service Man Card issued by Ministry of Defence to their employees.	Latest Telephone bill in the name of the subscriber/ Claimant and showing the address (less than 6 months old).
l	Photo credit Card.	Latest property/house Tax Receipt (not more than one year old).
m	-	Existing Valid registered lease agreement of the house on stamp paper (in case agreement of the house on stamp paper (in case of rented/leased accommodation).
n	Identity card issued by Central /State government and its Departments, Statuary/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc.	The identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statuary/Regulatory Authorities, Public Sector Undertakings, Schedules Commercial Banks, Public Financial Institution for their Employees.

2. In addition to the above, Original PRAN card is required. In case PRAN card is not available, the subscriber needs to submit a duly notarized Affidavit as to the reasons of non-submission of the PRAN card.

3. Cancelled cheque (containing Subscriber Name, Bank Account Number and IFS Code) or Bank Certificate Containing Name, Bank Account Number and IFS Code, if opted for direct credit or electronic transfer.

4. A pre-signed receipt acknowledging the receipt of the proceeds under NPS by the subscriber/claimant/nominee.

5. In case of Withdrawal of Accumulated Pension Wealth by Claimant/Nominee due to the death of a subscriber, the Claimant/Nominee needs to submit Death certificate in original of the deceased subscriber.

----------x----------x----------x----------

Form 102-GP **Page 1**

National Pension System (NPS)

Withdrawal Form for Claim of Accumulated Pension Wealth on exiting before the age of normal superannuation for Government Employees

(To be filled in by Subscriber - Please fill all the details in CAPITAL LETTERS & in BLACK INK only.)

(FOR OFFICE PURPOSE ONLY-NOT TO BE FILLED IN BY THE SUBSCRIBER)

Date : | | | | | | | | Acknowledgement Number : | | | | | | | | | | |

(DD/MM/YYYY) (Generated by CRA)

DDO Registration No.:_____ PAO/DTO/POP/POP-SP Registration No.:_____

Receipt Number issued by receiving office: | | | | | | | | | | | | | | | | |

Entered By: _____ Date: _____ Verified By: _____Date: _____

Self attested photograph of the subscriber

Sir/Madam,

I hereby submit a request for withdrawal under NPS for both Tier-I and Tier-II fund accumulations in my Permanent Retirement Account and give below the necessary details:

Section A – Subscriber's Personal Details:

1. PRAN *: | | | | | | | | | | | | |

2. Full Name **(As in PRAN Card)** *:

First Name*

Middle Name

Last Name

3. Father's/Spouse name*:

First Name*

Middle Name

Last Name

4. Address*:

Flat/Unit No, Block no.*

Name of Premise/Building/Village

Area/Locality/Taluka

District/Town/City*

State / Union Territory*

Country*

Pin Code* | | | | | | | 5. Mobile No. | | | | | | | | | | |

6. Date of Birth*(**As in PRAN Card**): | | | | | | | | 7. Email ID:

7. Date of resignation*: | | | | | | | |

Section B – Subscriber's Withdrawal Details:

Tier – I Account:

1. The Lump-sum amount to be withdrawn and amount to purchase annuity in case of normal withdrawal*(**Please refer General Instruction no.4)**

Description	Lump-Sum amount (Maximum of 20%)	Amount to purchase life annuity (Minimum of 80%)	Total
% share			100%

Note: A separate form needs to be filled in for purchase of Annuity and for arranging the monthly pension/annuity. The subscriber is required to follow the procedure laid down by Annuity Service Provider (ASP)

Tier – II Account:

The entire accumulated pension wealth would be paid out in single lump sum along with the withdrawal/payment of the Tier-I account.

Section C – Subscriber's Bank Details:

1. For Electronic transfer or Direct Credit through ECS/NEFT/RTGS, Proof attached for Bank Details*:

Cancelled Cheque ☐ Bank Certificate ☐

(Please note that Mode of remittance of funds would be through ECS/NEFT/RTGS/Electronic Transfer only and bank charges, if any, for direct credit would be deducted from pension wealth)

2. Type of Bank Account*: Savings A/c ☐ Current A/c ☐
3. Bank A/c Number*
4. Bank Name*
5. Bank Branch*
6. Bank Address*
7. Pin Code* 8. Bank IFS Code*
9. Bank MICR Code (Wherever applicable)

Section D – Subscriber's Annuity Details:

1. Annuity Service Provider (ASP) Name *:
2. ASP ID *:
3. ASP Scheme Name *:
4. ASP Scheme ID *:

Declaration:

I _____, NPS Subscriber, my PRAN is _____
do hereby declare that the information provided above is true to the best of my knowledge and belief.

Date : D D M M Y YYY

Signature/Left Thumb Impression of the Subscriber

***Note: Left thumb impression in case of illiterate male claimants and Right thumb impression in case of illiterate female claimants must be obtained.**

Annexure for Nomination Details

INSTRUCTIONS FOR FILLING IN THE FORM

The details of nominees to whom the outstanding pension wealth of the subscriber is payable in case of the demise of the subscriber before entire proceeds are withdrawn (Please refer general instruction no: 6) is to be provided hereunder. Also, please note that in case of demise of the subscriber after opting for phased withdrawal, all the outstanding pension wealth out of the phased lump sum withdrawal in the account of the subscriber will be paid to the nominee(s) as mentioned in this form and the same would be treated as full and final discharge of the obligation. **In case, if you wish to appoint multiple nominees, please fill in the form 401-AN.**

I, _____ hereby nominate the person(s) mentioned below who is/are member(s)/non-member(s) of my family to receive the amount that may stand to my credit in the National Pension System as indicated below, in the event of my death before that eligible accumulated pension wealth amount has become payable or having become payable or having become payable has not been paid.

1. Name of the Nominee:

First Name*	Middle Name	Surname/last name

2. Nominee's current communication Address*:

Flat/Unit No, Block no*_____

Name of Premise/Building/Village _____

Area/Locality/Taluka_____ _____

District/Town/City*_____

State / Union Territory*_____

Country*_____ Pin Code*_____ Email ID:_____ Mobile No.:_____

3. Date of Birth of the Nominee* (Only in case of a minor): | | | | | | | | |

4. Relationship of the nominee with the Subscriber*:
(e.g. If nominee is son, subscriber should fill the relationship as 'Son')

5. Nominee's Guardian Details*(only in case of a minor):

First Name*	Middle Name	Last Name

Dated this _____day of_____20 at _____.
in the presence of the following witnesses:

Particulars	1st Witness	2nd Witness
Name		
Address		
Signature		

Signature/Left Thumb Impression of the Subscriber

***Note: Left thumb impression in case of illiterate male Subscriber and Right thumb impression in case of illiterate female subscriber must be obtained.**

TO BE FILLED/ATTESTED BY DDO/POP-SP

Certified that the above declaration and nomination details have been signed / thumb impressed before me by Sh/Smt/Ms_____ _____after he / she have read the entries / entries have been read over to him / her by me and got confirmed by him / her. **Also certify that the date of relieving is as per employee records available with the Department. The date of relieving of the subscriber as per government/employer record is as given below:**

D	D	M	M	Y	Y	Y	Y

Rubber Stamp of the DDO/POP-SP	Signature of the Authorised Person

DDO/POP-SP Registration Number _____ (Allotted by CRA)

Designation of the Authorised Person : _____

DDO/POP-SP Office Name : _____

Date :

D	D	M	M	Y	Y	Y	Y

TO BE FILLED/ATTESTED BY PAO/DTO/POP/POP-SP	PAO/DTO/POP/POP-SP Registration Number (Allotted by CRA): _____
Rubber Stamp of the PAO/DTO/POP/POP-SP	Signature of the Authorised Person

CLAIM FOR THE WITHDRAWAL OF ACCUMULATED PENSION WEALTH OF THE SUBSCRIBER UNDER NATIONAL PENSION SYSTEM

Advanced Stamped Receipt

_____covered under the National Pension System with Permanent Retirement Account Number (PRAN) _____ has received a sum of Rs._____/- (Rupees_____only) from National Pension System / National Pension System Trust by deposit in my Saving Bank / Current Account towards the settlement of my National Pension System (NPS) account.

Affix 1 Rupee Revenue Stamp and sign across

Signature or Left/ Right hand thumb impression of the NPS Subscriber*

(***Note: Left thumb impression in case of illiterate male claimants and Right thumb impression in case of illiterate female claimants must be obtained.)**

ACKNOWLEDGMENT RECEIPT

Acknowledgment slip to the NPS Subscriber on receipt of completed application form for Withdrawal on exiting before the age of normal superannuation
(To be filled by PAO/DTO/POP/POP-SP)

Received from PRAN : | | | | | | | | | | | |

DDO Registration Number : _____ PAO/DTO/POP/POP-SP Registration Number: _____

Received at: _____ Date : _____ Time: _____

Acknowledgement Number : (Generated by CRA) | | | | | | | | | | |

INSTRUCTIONS FOR FILLING UP THE FORM

This application should be filled by the Subscriber seeking to withdraw pension wealth benefits before attaining the age of normal superannuation from Government Service

Documents to be enclosed along with this application:-

1. PRAN card in original. In case PRAN card is not available, the subscriber needs to submit a duly notarized Affidavit as to the reasons of non-submission of the PRAN card.
2. Cancelled cheque (containing Subscriber Name, Bank Account Number and IFS Code) or Bank Certificate Containing Name, Bank Account Number and IFSC code, for direct credit or electronic transfer.
3. A pre-signed receipt acknowledging the receipt of the proceed under NPS by the subscriber
4. In addition to the PRAN card any other Identification and address proof of the subscriber. The photocopies of documents (Sr. No. a to i) and original document (Sr. No. j) that can be provided as identification and address proof are as mentioned below:
    a) Ration Card with photograph of the subscriber and residential address
    b) Bank Passbook with photograph and residential address
    c) Credit Card with photograph, any other address proof like latest telephone bill, electricity bill in the name of the subscriber.
    d) Passport
    e) Aadhar Card issued by UIAD
    f) Voter's Photo Identity Card with residential address
    g) Driving license with photograph and residential address
    h) PAN card and any other address proof like latest telephone bill, electricity bill in the name of the subscriber.
    i) Final relieving certificate from government service on superannuation, if the application for withdrawal is submitted through the Points of Presence (POP).
    j) Certificate of identity with photograph signed by a Member of Parliament or Member of Legislative Assembly or Municipal Councilor or a Gazetted Officer and any other address proof like latest telephone bill, electricity bill in the name of the subscriber (to be provided original)

In case if the address is not present on any of the above documents or differs with address provided in this form, proof in respect of current residential address like latest telephone bill, electricity bill in the name of the subscriber should be submitted.

GENERAL INSTRUCTIONS:

1. All the columns in the form should be filled with black ink pen without any overwriting.
2. Fields marked with (*) are mandatory.
3. Correct postal address, including the pin code should be provided.
4. Percentage of allocation for amount to be withdrawn as Lump-sum and amount to purchase life annuity. Subscriber can withdraw maximum 20% of pension wealth and is required to transfer minimum 80% of pension wealth to annuity. For example, for a total corpus of Rs.1000/-, if subscriber wants Rs.100 as lump-sum and Rs.900 for annuitisation, subscriber to select 10% and 90%.
5. Instructions for nomination
    - Subscriber can nominate maximum of three nominees.
    - Subscriber cannot fill the same nominee details more than once.
    - Percentage share value for all the nominees must be integer. Fractional value will not be accepted.
    - Sum of percentage share across all the nominees must be equal to 100. If sum of percentage is not equal to 100, entire nomination will be rejected.
    - If a nominee is a minor, then nominee's guardian details will be mandatory

For the purpose of this document Pension Wealth means: The total amount of contributions made by the subscriber in the scheme plus the investment income derived from the investment of the contributions made by the subscriber from the date of joining of National Pension System till the date of execution of withdrawal request in the CRA System.

'kk lukn'k l[;k&07@2017&lk&3&108@nl&2017&301%9%@2011] fnukd 20&03&2017 dk vuy%ud&4

National Pension System (NPS)

Withdrawal of Accumulated Pension Wealth by Claimant due to the death of the subscriber

(Please fill all the details in CAPITAL LETTERS & in BLACK INK only.)

This application should be filled by:-

If a valid nomination subsists:By the Nominee (s), if the nominee (s) is/are minor (s) guardian of the minor(s)

If no nomination subsists: By the family members (family includes posthumous child if any) except major sons and married daughters whose husbands are live, of the deceased family member duly supported by a list of surviving family members furnished by Executive Magistrate indicating complete particulars such as name, relationship with the deceased member (in case of parents whether dependent or not) age, marital status. Also, if any family member is minor by the guardian of the minor.

If both 1 & 2 above are not applicable, By legal heir (s) duly supported by a 'legal heir certificate' from the appropriate sate authority.

In case of multiple claimants, separate forms need to be filled and submitted.

(FOR OFFICE PURPOSE ONLY-NOT TO BE FILLED IN BY THE CLAIMANTS)

Date : ☐☐☐☐☐☐☐☐ (DD/MM/YYYY)

Acknowledgement Number : ☐☐☐☐☐☐☐☐☐☐ (Generated by CRA)

DDO Registration No.:_____

PAO/DTO/POP/POP-SP Registration No.:_____

Receipt Number issued by receiving office: ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Entered By: _____ Date: _____ Verified By: _____ Date: _____

Sir/Madam,

I/We being a nominee(s)/legal heir(s)/guardian of minor nominee(s) or minor heir(s)of the deceased subscriber apply for the payment of the accumulated pension wealth of the deceased subscriber under the NPSfor both Tier-I / Tier-II (please tick as applicable). I / we understand further that the entire accumulated pension wealth in both Tier I and Tier II (as applicable) would be settled as per the NPS scheme and hereby give below the necessary details:

Section A – Subscriber's Details:

1. PRAN *: ☐☐☐☐☐☐☐☐☐☐☐☐

2. Full Name **(As in PRAN Card)** *:

First Name*

Middle Name

Last Name

3. Father's name/Spouse' Name*:

First Name*

Middle Name

Last Name

4. Date of Birth of the deceased subscriber *(**As in PRAN Card**): ☐☐☐☐☐☐☐☐ (DDMMYYYY)

5. Date of subscriber's death (DDMMYYYY) ☐☐☐☐☐☐☐☐

Section B – Details of the Claimant (person entitled to receive claim proceeds under the policy):

1. Name of the Claimant

First Name*	Middle Name	Surname/last name

2. Claimant's current communication Address:

Flat/Unit No, Block no*_____

Name of Premise/Building/Village _____

Area/Locality/Taluka_____

District/Town/City*_____

State / Union Territory*_____

Country*_____ Pin Code*_____ Email ID:_____ Mobile No._____

3. Date of Birth of the Claimant (DDMMYYYY):

4. Relationship with the Subscriber*:
(e.g. If claimant is son, claimant should fill the relationship as 'Son')

5. Claimant's Guardian Details*(only in case of a minor):

First Name*	Middle Name	Last Name

Section C – Claimant's Bank Details(Please refer General Instruction no.6):

I. Bank Details of the Claimant:

1. For Electronic transfer or Direct Credit through ECS/NEFT/RTGS, Proof attached for Bank Details*:

Cancelled Cheque ☐ Bank Certificate ☐

2. Type of Bank Account*: Savings A/c ☐ Current A/c ☐

3. Bank A/c Number*

4. Bank Name*

5. Bank Branch*

6. Bank Address*

7. Pin Code * 8. Bank IFS Code*

9. Bank MICR Code (Wherever applicable)

Declaration:

I/We (as mentioned below), the nominee(s)/legal heir(s)/guardian of minor nominee(s) or minor heir(s)of NPS SubscriberShri/Smt./Ms._____do hereby declare that the information provided above is true to the best of my/our knowledge and belief.

Claimants Signature (Signature of guardian in case the claimant is a minor)	Name of the Claimant or of guardian	
Signature/Left Thumb Impression*	Date : D D M M Y Y Y Y	Self attested photograph of the Claimant /guardian

TO BE FILLED/ATTESTED BY DDO/POP-SP

Certified that the above declaration and details has been signed / thumb impressed before me by Sh./Smt/Ms._____ _____after the nominee(s)/legal heir(s)/guardian of minor nominee(s) or minor heir(s) has read the entries / entries have been read over to him / her by me and got confirmed by him / her. It is also certified that this office has not paid/received any family pension to the legal heir(s)/nominee(s) of the deceased subscriber as per O.M. no. : 38/41/06/P&PW (A) dt. 05th May, 2009 issued by Department of pension & Pensioners welfare (DoPPW) and other OMs issued in this regard and we don't have any objection for release of accumulated pension wealth to his/her claimant.

Rubber Stamp of the DDO/POP-SP

Signature of the Authorised Person

DDO/POP-SP Registration Number _____ (Allotted by CRA)

Designation of the Authorised Person : _____

Date : D D M M Y Y Y Y

DDO/POP-SP Office Name : _____

TO BE FILLED/ATTESTED BY PAO/DTO/POP/POP-SP

PAO/DTO/POP/POP-SP Registration Number (Allotted by CRA):

Rubber Stamp of the PAO/DTO/POP/POP-SP

Signature of the Authorised Person

CLAIM FOR THE WITHDRAWAL OF ACCUMULATED PENSION WEALTH BY CLAIMANTS – DUE TO THE DEATH OF THE SUBSCRIBER UNDER NATIONAL PENSION SYSTEM

Advanced Stamped Receipt

Claimant / Guardian of the Claimant (if the claimant is minor)

Received a sum of Rs. /- (Rupees.....Only)from National Pension System / National Pension System Trust by deposit in my Saving Bank / Current account towards the settlement of National Pension System account of late Shri/Smt.....with PRAN Number .....

Affix 1 Rupee Revenue Stamp and sign across

Signature or Left/ Right hand thumb impression of the Nominee/Guardian*

Requirements submitted along with this form	**Yes / No**
Original PRAN Card	
In the absence of PRAN card, notarized affidavit	
Death certificate in original issued by local authorities	
Photo ID	
Address proof of the Claimant	
Date of birth proof of claimant	
Legal heir certificate	
Certified copy of family member's certificate issued by Executive Magistrate	
Cancelled cheque (containing nominee Name, Bank Account Number and IFS Code) or Bank Certificate	
Discharge Certificate from the employer (in case claim is lodged through a POP/POP-SP)	
Note: PFRDA reserves the right to call for additional requirements, if needed for establishing a valid claim under National Pension System.	

DECLARATION & AUTHORIZATION

I hereby declare that the information given on this death claim application form is true and complete to the best of my knowledge and belief. I hereby declare and agree that any personal information collected or held by the National Pension System (NPS) (whether contained in this application or otherwise obtained) is provided andmay be held, used, and disclosed by the Company to individuals/organisations associated with the NPS or any selected third party (within or outside of India) for the purposes of processing this application.

Witness Signature _____ Claimant Signature _____

Name of the Witness _____ Name of Claimant _____
(in block letters, family name first)

Address of Witness: _____ Date: ___/___/_____
DD M M Y YYY

Date: ___/___/_____
D D M M Y YYY

==========

ACKNOWLEDGMENT RECEIPT

Acknowledgment slip to the Claimant on receipt of completed application form for Withdrawal due to death of the subscriber
(To be filled by PAO/DTO/POP/POP-SP)

Received from PRAN : ☐☐☐☐☐☐☐☐☐☐☐☐

PAO/DTO/POP/POP-SP Registration Number: _____ PAO/DTO/POP/POP-SP Office Name : _____

Received at: _____ Date : _____ Time: _____

Acknowledgement Number : ☐☐☐☐☐☐☐☐☐☐
(Generated by CRA)

INSTRUCTIONS FOR FILLING UP THE FORM

1. All the columns in the form should be filled with black ink pen without any overwriting
2. Fields marked with (*) are mandatory.
3. The day on which CRA receives the confirmation of funds transferred to Subscriber's accounts; the PRAN will be deactivated in the CRA System.
4. Correct postal address, including the pin code should be provided
5. The literate claimant should sign the application form. In case of the claimant being illiterate, Left hand thumb impression by illiterate male claimant and Right hand thumb impression by illiterate female should be affixed in the claim form.
6. If the Nominee/ legal heir is minor, Bank account number should be in the name of nominee/ legal heir. Bank account's guardian should be same as mentioned in the withdrawal form.

Documents to be enclosed with the application:-

1. Death certificate in original of the deceased subscriber.
2. PRAN card in original. In case PRAN card is not available, a duly notarized affidavit as to the reasons of non-submission of the PRAN card is needs to be submitted.
3. Certified copy of family member's certificate issued by Executive Magistrate for cases where no nomination was registered with us.
4. Legal heir certificate when the claim is being made by.
5. Cancelled cheque (containing nominee Name, Bank Account Number and IFS Code) or Bank Certificate containing Name, Bank Account Number and IFSC code, for direct or electronic transfer.
6. A pre-signed receipt acknowledging the receipt of the proceeds by nominee/nominees/legal heir (as applicable)

1. Identification and address proof of the nominee or nominees, in case of multiple nominees. The photocopies of documents (Sr. No. a to h) and original document (Sr. No. i) that can be provided as identification and address proof are as mentioned below:
    a) Ration Card with photograph and residential address
    b) Bank Passbook with photograph and residential address
    c) Credit Card with photograph, any other address proof like latest telephone bill, electricity bill in the name of the nominee.
    d) Passport
    e) Aadhar Card issued by UIAD
    f) Voter's Photo Identity Card with residential address
    g) Driving license with photograph and residential address
    h) PAN card and any other address proof like latest telephone bill, electricity bill in the name of the nominee.
    i) Certificate of identity with photograph signed by a Member of Parliament or Member of Legislative Assembly or Municipal Councilor or a Gazetted Officer and any other address proof like latest telephone bill, electricity bill in the name of the nominee (to be provided original)

In case if the address is not present on any of the above documents or differs with address provided in this form, proof in respect of current residential address like latest telephone bill, electricity bill in the name of the nominee should be submitted.

For the purpose of this document Pension Wealth means: The total amount of contributions made by the subscriber in the scheme plus the investment income derived from the investment of the contributions made by the subscriber from the date of joining of National Pension System till the date of execution of withdrawal request in the CRA System.

Annexure II

(As per Regulation 3(a) of PFRDA (Exits & Withdrawals) Regulations, 2015)

REQUEST CUM UNDER TAKING FORM FOR WITHDRAWAL OF TOTAL PENSION WEALTH AT SUPERANNUATION AND WHERE THE TOTAL PENSION WEALTH IS EQUAL TO OR LESS THAN RS. 200, 000/-

I, ……S/D/W/O……, aged about …….years, residing at……., do hereby solemnly affirm and declare as under:

1. That I am a subscriber of National Pension System, holding PRAN ……..
2. That since the total amount receivable by me as the benefit receivable upon exit from NPS is Rs. ……., which is less than/equal to the limit of Rs. 2,00,000/-, I understand that I am eligible to opt for withdrawal of the total pension wealth under NPS rules/guidelines,

Basing on the above, I hereby opt to withdraw my complete pension wealth lying to my credit in my aforesaid PRAN account being the full and final benefits receivable by me.

I also understand that with the aforesaid withdrawal, I or my family members shall not be entitled to receive any other or further benefits under the National Pension System (NPS) including the benefits as provided under PFRDA (Exits and Withdrawals under the National Pension System) Regulations 2015.

Date:

Place: **Signature/Thumb Impression of the Subscriber***

Attested by:

Signature of the PAO/DDO/DTO : ……..

Name of the designated Official: ……

PAO/DDO/DTO Registration Number ……..

Rubber Stamp of the PAO/DDO/DTO ……

**In case of female, Right Thumb Impression and in case of males Left Thumb Impression may be taken*

Annexure II

(As per Regulation 3(c) of PFRDA (Exits & Withdrawals) Regulations, 2015)

REQUEST CUM UNDER TAKING FORM FOR WITHDRAWAL OF TOTAL PENSION WEALTH DUE TO DEATH OF SUBSCRIBER AND WHERE THE TOTAL PENSION WEALTH IS EQUAL TO OR LESS THAN RS. 200, 000/-

1. I/We…….. being a nominee(s)/legal heir(s)/guardian of minor nominee(s) or minor heir(s) of the deceased subscriber Sh/Smt/ku…… PRAN…… apply for the payment of the accumulated pension wealth of the deceased subscriber under the NPS and do hereby solemnly affirm and declare as under:

2. That since the total amount receivable as the benefit receivable upon exit from NPS is Rs. ……., which is less than/equal to the limit of Rs. 2,00,000/-, I/we understand that I/we am/are eligible to opt for withdrawal of the total pension wealth under NPS rules/guidelines,

Basing on the above, I/we hereby opt to withdraw complete pension wealth lying in the aforesaid PRAN account being the full and final benefits receivable by me/us.

I/We also understand that with the aforesaid withdrawal, I/we or my/our family members shall not be entitled to receive any other or further benefits under the National Pension System (NPS) including the benefits as provided under PFRDA (Exits and Withdrawals under the National Pension System) Regulations, 2015.

Signature of 1st Nominee/ Claimant	**Signature of 2nd Nominee/ Claimant**	**Signature of 3rd Nominee/ Claimant**
Date: Place:	Date: Place:	Date: Place:

Attested by:

Signature of the DDO/PAO/DTO : ……..

Name of the designated Official: ……

DDO/PAO/DTO Registration Number ……..

Rubber Stamp of the DDO/PAO/DTO ……

Annexure II

(As per Regulation 3(b) of PFRDA (Exits & Withdrawals) Regulations, 2015)

REQUEST CUM UNDER TAKING FORM FOR WITHDRAWAL OF TOTAL PENSION WEALTH BEFORE SUPERANNUATION AND WHERE THE TOTAL PENSION WEALTH IS EQUAL TO OR LESS THAN RS. 100, 000/-

I, ……S/D/W/O……, aged about …….years, residing at……, do hereby solemnly affirm and declare as under:

1. That I am a subscriber of National Pension System, holding PRAN ……..
2. That since the total amount receivable by me as the benefit receivable upon exit from NPS is Rs. ……., which is less than/equal to the limit of Rs. 1,00,000/-, I understand that I am eligible to opt for withdrawal of the total pension wealth under NPS rules/guidelines,

Basing on the above, I hereby opt to withdraw my complete pension wealth lying to my credit in my aforesaid PRAN account being the full and final benefits receivable by me.

I also understand that with the aforesaid withdrawal, I or my family members shall not be entitled to receive any other or further benefits under the National Pension System (NPS) including the benefits as provided under PFRDA (Exits and Withdrawals under the National Pension System) Regulations 2015.

Date:

Place: **Signature/Thumb Impression of the Subscriber***

Attested by:

Signature of the PAO/DDO/DTO : ……

Name of the designated Official: ……

PAO/DDO/DTO Registration Number ……..

Rubber Stamp of the PAO/DDO/DTO ……

**In case of female, Right Thumb Impression and in case of males Left Thumb Impression may be taken*

'kkl ukn'k l [;k&07@2017&l k&3&108@n l &2017&301½9½@2011] fnukd 20&03&2017 dk vuyXud&8

Annexure NOC_D

(To be enclosed alongwith Withdrawal Form 103 GD)

No objection for Settlement of Accumulated Pension Wealth in NPS

I _____, of _____ (name of the office, i.e., PAO/CDDO/DTO) hereby confirm that this office has not paid/received any family pensionas per O.M. no. : 38/41/06/P&PW (A) dt. 5th May, 2009 issued by Department of pension & Pensioners welfare (DoPPW) and other OMs issued in this regard, if any, with request to/by the legal heir(s)/ nominee(s) of the deceased subscriber Late _____ (PRAN - _____) or to the subscriber Sh. _____(PRAN - _____)on invalidation and we don't have any objection for release of accumulated pension wealth to his/her claimant(s).

Stamp and Signature of the Authorised person

PAO/CDDO/DTO Reg. No. _____

PAO/CDDO/DTO Name _____

PrAO/DTA Name _____

Date _____

1. Error Rectification Module

PAO has to upload Subscriber Contribution File (SCF) in NPSCAN/CRA system and remit the pension contributions to the Trustee Bank. However, there have been instances wherein the accredited bank had transferred amount in excess of the SCF. Further, there have been instances wherein the PAOs may have committed errors in uploading the SCFs. In a nutshell, the PAOs/Accredited Banks may have committed the following errors:

A. Excess Transfer to Trustee Bank*
B. Excess Transfer to a PRAN
C. Amount wrongly credited in PRAN 1 instead of PRAN 2
D. Non-NPS Subscriber withdrawal

**In the present scenario, all the funds are returned which do not get matched within the next business day. Therefore, 'Excess transfer to Trustee Bank' module will facilitate only those remittances which have been done before May 1, 2012.*

The succeeding pages describe SOP to be followed by the Nodal Offices for rectification of such errors.

3 (a). Excess amount transferred to Trustee Bank (only for CG Sector)

This scenario consists of a situation wherein PAO has transferred excess funds to the Trustee Bank. PAO may/may not have uploaded the corresponding SCF in the CRA system and the entire amount is invested and is part of the CG Pool Account[1]. In order to resolve the situation, the PAO has to capture a request in CRA system through 'Error Rectification Module'.

Funds can be remitted back to the PAO only if the amount is lying in the Pool. PAO may contact Trustee Bank with the Fund Transfer Details (FTD) to check whether the amount has been adjusted against any SCF or not. If the funds have been adjusted with other SCF, amount cannot be remitted back to PAO. Below are the activities which need to be performed for rectification of error:

1. Capturing of Request by PAO
2. Verification of Request by PAO
3. Authorisation of Request by PrAO
4. Confirmation by Trustee Bank
5. Verification by Trustee Bank

3 (b). Excess amount transferred to a PRAN

[1] *Pool Account: Funds received by Trustee Bank, if not identified were being credited to a 'Pool Account' for the CG Sector.*

In some instances, PAO may have erroneously transferred excess amount to a PRAN and the amount has been credited into the subscriber account. The resolution of these cases can be done by the PAO.

Following are the validations which are in place for capturing the request in CRA system:

1. PAO can capture the request for only that record which has been uploaded by it, irrespective of whether at present the Subscriber is associated with that nodal office or not. However, the subscriber should not have moved out of the sector to which the PAO belongs.
2. Unless and until the request (captured earlier) is verified for a PRAN for the specific entry, PAO will not be able to capture a fresh entry for the same. For example, PAO has captured the request for withdrawal of regular credit of April'12. Unless this request is effectively completed, PAO will not be able to capture any other request for withdrawal of credit pertaining to April 2012 for this particular subscriber.
3. In these cases, the units credited in the subscriber account (equivalent to the contribution amount credited) erroneously would be redeemed. Amount (in Indian Rupees) equal to the initial investment would be credited back to the PAO and excess realized (if any) would be credited to the Investor's Awareness Account maintained with the Trustee Bank.

3 (c). Transfer to PRAN 1 instead of PRAN 2

In some cases, PAO has inadvertently transferred an amount to a PRAN 1 instead of PRAN 2. Accordingly units have been credited in the PRAN 1. As the amount is already credited to PRAN 1 (i.e. file is matched and booked), correction file cannot be uploaded by the PAO. In such cases, PAO has to put the request for rectification of entry in 'Error Rectification Module'. Following are the validations built in the system:

1. Both the PRANs (i.e. Source as well as Target) should belong to the sector of the PAO.
2. PAO can capture the request for only that record which has been uploaded by it.
3. Unless and until the request (captured earlier) is verified for a PRAN for the specific entry, PAO will not be able to capture a fresh entry for the same. For example, PAO has captured the request for withdrawal of regular credit of April'12. Unless this request is effectively completed, PAO will not be able to capture any other request for withdrawal of credit pertaining to April 2012 for this particular subscriber.
4. PAO can capture maximum of five target PRANs in a request.

*** In case, the subscriber's scheme ratio is identical (at the time of erroneous credit as well as at the time of rectification) then equivalent units will be transferred from the source PRAN to the target PRAN.**

Illustration: PAO X had remitted in excess Rs. 2,000/- in 'PRAN 1' inadvertently, instead of 'PRAN 2' on April 22, 2008. Based on NAV of Rs. 10/-, 200 units were created for Rs. 2,000/- and credited to PRAN 1. On February 15, 2012, PAO X has requested for rectification of incorrect credit in PRAN 1 and transfer of investment to PRAN 2. After PrAO authorizes the

request for incorrect remittance of Rs. 2000/-, 200 units will be debited from the source PRAN and credited to the target PRAN at the End of the Day.

**** In case, where subscriber's scheme ratio is not identical (at the time of erroneous credit and at the time of rectification) then units worth of excess transferred amount will be redeemed from the source PRAN. Further, the redeemed amount will be re-invested as per the scheme ratio of target PRAN.**

Illustration: PAO X had remitted in excess Rs. 3,000/- in 'PRAN 1' inadvertently instead of 'PRAN 2' on March 1, 2013. Based on NAV of Rs. 10/- of 'SBI scheme -G', 300 units were created for Rs. 3,000/- and credited to PRAN 1. After PAO has identified, PAO captures and verifies the rectification request on July 2, 2013 and PrAO authorises the request on July 4, 2013. Based on the NAV (Rs. 12) of July 4, 2013, 250 units will be redeemed from the PRAN 1. The redeemed amount will be re-invested in the target PRAN as per the settlement cycle.

3 (d). Non-NPS Subscriber withdrawal

This scenario consists of a situation wherein PAO has inadvertently committed the error by allotting the PRAN for Non-NPS subscriber and also transferred the amount in the CRA system. Now the amount needs to be withdrawn and given back to the PAO. For this, PAO is required to use the functionality of Non-NPS subscriber withdrawal. PAO will login into the CRA system and click the 'Error Rectification Module'. A sub-menu will be provided for 'Non-NPS subscriber withdrawal'. The procedures to be followed to process the withdrawal requests are given as under:

1. Capturing of Request by PAO
2. Verification of Request by PAO
3. Authorisation of Request by PrAO

Before processing the request, certain validations will be performed such as:

i. The PAO can capture such requests only for the subscribers associated with it.

ii. Request has to be authorized by the PrAO.

iii. All the units credited in the subscriber's PRAN will be redeemed.

iv. If there are any contribution files pending to be matched for the subscriber, then the request for withdrawal of funds will be rejected at EOD. These pending SCFs may be corrected by the PAO to exclude the said PRAN. Subsequently, request for non-NPS withdrawal can be captured.

v. Redeemed units will be transferred in the suspense account (subsequent to authorization of request by PrAO) and will be considered for the redemption in the next settlement cycle.

vi. Once request is processed in the CRA system, PRAN will be deactivated in the CRA system. No contribution will be allowed to be credited in the subscriber's PRAN.

राष्ट्रीय पेंशन प्रणाली से प्रान खाते से पेंशन निदेशालय के माध्यम से की जाने वाली निकासी के संबंध में उपलब्ध कराये जाने वाले फार्म का प्रारूप

1. निकासी का कारण (कृपया सही विकल्प चुनें)

*(क) सेवा काल में मृत्यु / विकलांगता / चोट की दशा में ☐
पारिवारिक पेंशन का वरण दिये जाने पर राज्य सरकार को वापसी

**(ख) त्याग पत्र / पदच्युत कर दिए जाने के कारण ☐
पुरानी पेंशन योजना से आच्छादित हो जाने के कारण ☐
PRAN खाते में गलत कटौती होने के कारण ☐

*बिन्दु-क के संबंध में आवेदक द्वारा उपलब्ध कराये गये विकल्प पत्र की प्रमाणित प्रति संलग्न करें |

भाग -1 – कार्मिक का विवरण

2. प्रान खाता : ☐☐☐☐☐☐☐☐☐☐☐☐☐☐
3. कर्मचारी का नाम(हिंदी में) :_____
4. कर्मचारी का नाम(अंग्रेजी में) :_____
5. पिता / पति का नाम :_____
6. पत्र व्यवहार का पता :_____
   _____
   _____
7. स्थाई पता :_____
   _____
   _____
8. मोबाइल : ☐☐☐☐☐☐☐☐☐☐
9. ई-मेल : _____
10. कार्मिक के परिवार / आश्रितों का विवरण :

क्रम संख्या	सदस्य का नाम	कार्मिक से संबंध	सदस्य की जन्म तिथि	विकलांगता (हाँ/नहीं)

11. नामिनी का नाम व कार्मिक से संबंध : _____

* संदर्भ : शासनादेश संख्या-13/सा-180/दस-2016-301(09)/2011, दिनांक 19 मई, 2016

** संदर्भ : शासनादेश संख्या-सा-1192/दस-2015, दिनांक 14 जनवरी, 2016

भाग -2 – कार्मिक के सेवा का विवरण

12. जन्म तिथि(mm/dd/yyyy) : ☐☐ ☐☐ ☐☐☐☐
13. सेवा में आने की तिथि(mm/dd/yyyy) : ☐☐ ☐☐ ☐☐☐☐
14.* सेवा छोड़ने की तिथि (mm/dd/yyyy): ☐☐ ☐☐ ☐☐☐☐
15. अंतिम पदनाम :_____
16. तैनाती का अंतिम कार्यालय :_____
    _____
    _____
17. आहरण वितरण अधिकारी का : _____
    नाम व पद _____
18. आहरण वितरण अधिकारी का कोड: ☐☐☐☐
    (राज्य सरकार द्वारा निर्धारित)
19. आहरण वितरण अधिकारी की पंजीकरण संख्या :☐☐☐☐☐☐☐☐☐☐
    (NSDL द्वारा जारी)
20. सम्बंधित कोषागार एवं कोड : _____
21. कोषागार पंजीकरण संख्या (NSDL द्वारा जारी):☐☐☐☐☐☐☐
22. अंतिम वेतनमान (पे-बैंड एवं ग्रेड पे / लेवल एवं मैट्रिक्स _____
23. अंतिम आहरित वेतन :_____

भाग -3 – कार्मिक / नामिनी के बैंक खाते का विवरण (केवल 1.(ख) तथा (ग) के संबंध में)

24. बैंक खाते का प्रकार : बचत खाता ☐ चालू खाता ☐
    (खाते से सम्बंधित कैंसल चेक / बैंक सर्टिफिकेट संलग्न करें)
25. बैंक खता संख्या : ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐
26. बैंक का नाम : _____
27. शाखा का नाम तथा पता : _____
    _____
28. बैंक का IFSC : ☐☐☐☐☐☐☐☐☐☐☐
29. बैंक का कोड : ☐☐☐☐☐☐☐☐☐☐☐
30. MICR कोड : ☐☐☐☐☐☐☐☐☐☐☐

भाग -4 – कार्मिक के GPF खाते के संबंध में ((केवल 1.(ग) के संबंध में)

क्रम संख्या	सदस्य का नाम	सम्बंधित GPF खाता संख्या	GPF संख्या जारी होने की तिथि

*महालेखाकार द्वारा जारी प्रमाण-पत्र की प्रति संलग्न करें |

भाग -5 – कार्मिक / नामिनी के द्वारा अंडरटेकिंग

(क). मै / हम शपथपूर्वक बयान करते हैं की उपर्युक्त विवरण पूर्णतया सत्य है, तथा मेरे / हमारे द्वार कोई तथ्य छुपाया नहीं गया है और जानबूझ कर कोई असत्य सूचना अंकित नहीं की गई है |

(ख). मै / हम शपथपूर्वक बयान करते हैं की उपर्युक्त बिन्दु संख्या-1 के संबंध में उपलब्ध कराये गए सभी साक्ष्य सही हैं | भविष्य में उक्त सूचना गलत पाए जाने पर मै / हम उत्तरदयी होंगे |

आवेदक का नाम व हस्ताक्षर

भाग -6 – आहरण एवं वितरण अधिकारी का प्रमाण-पत्र

क्रमांक संख्या 1 से 30 तक अंकित _____ से सम्बंधित विवरण का सेवा पुस्तिका एवं वेतन पर्ची से सत्यापन किया गया | अंकित सूचनायें शुद्ध है |

दिनांक : _____ हस्ताक्षर :_____

स्थान : _____ नाम व पदनाम: _____

_____

(मुहर लगाईं जाए)

भाग -7 – कार्यालयाध्यक्ष का प्रमाण-पत्र

उपरोक्त भाग -1 से भाग -6 तक अंकित विवरण _____से सम्बंधित विवरण सेवा पुस्तिका एवं वेतन पर्ची से सत्यापन किया गया | अंकित सूचनायें शुद्ध है |

दिनांक :_____ हस्ताक्षर :_____

स्थान :_____ नाम व पदनाम: _____

_____

(मुहर लगाईं जाए)

प्रतिहस्ताक्षरित :--

मुख्य / वरिष्ठ कोषाधिकारी

नाम _____

जिला _____